

## Choosing a Database of Record in an Active/Active System

November 2008

In this age of corporate expansion, mergers, and acquisitions, companies find themselves with a hodgepodge of new corporate databases that must somehow be consolidated. Many of these databases contain similar data such as names and addresses. However, not only may the format of a particular data item be different in different databases, but its value also may be different. For instance, a person may have moved. His new address is reflected in one database, but another contains an older address. Which one is correct?

Active/active systems further complicate this question, since there are multiple copies of the database in the application network, all of which are presumably correct. But what if some system problem causes these copies to diverge? Which one is correct? We explore this issue below.

### The Database of Record

What is needed is a master database that reflects the “single version of truth.” Its contents are considered to be correct, even if the values of its data items may be different from those in other databases. This database is referred to as the *database of record*. A database of record is not only necessary for the efficient operation of a company but is often required by government regulations.

To address the challenge of creating a master database, companies often build a data warehouse or an operational data store (ODS) to hold all of their data. Such a system contains the one “version of the truth;” and its database is the database of record.

There are several problems with data warehouse and ODS solutions. First, many of the enterprise’s applications may need to be modified to account for the changes in data structures used by the common centralized database maintained by these systems.

Even more critical is the need for the database of record to be continuously available. The enterprise’s operations may well be seriously compromised if this database is not available. It must be always accessible in order for a company to provide the necessary IT services to its employees, customers, and vendors. Though today’s storage systems are very reliable, they do fail. For this reason, it is imperative that a backup system be configured to protect the database of record and to provide continued access to the corporate data should the primary system fail.

Today’s backup facilities generally rely on magnetic tape, virtual tape, or unidirectional data replication to provide a backup copy of the corporate data. Should the primary system fail, the backup system is brought into service, the database is loaded, and the system is put into service to continue the functions of the failed primary system.

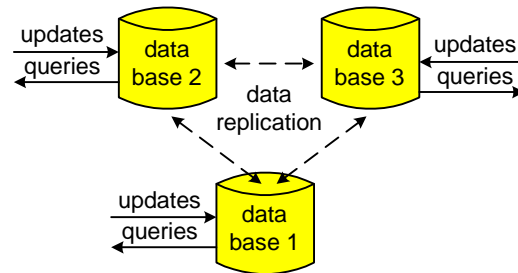
However, in the event of a primary system failure, there is typically a failover time interval during which data is unavailable (defined by the RTO, or recovery time objective); and some data may

be lost (defined by the RPO, or recovery point objective).<sup>1</sup> For instance, if magnetic tape is used as the backup mechanism, it may take hours to load the backup database and bring the backup system into service. Furthermore, all transactions that have occurred since the last backup are lost.

An active/active system largely solves these twin problems of data unavailability and data loss. However, in an active/active system, there are multiple copies of the application database. Which one should be the database of record?

## Database of Record in an Active/Active System

An active/active system comprises multiple distributed processing nodes all cooperating in a common application.<sup>2</sup> Not only are there multiple processing nodes in the application network, but there also are two or more copies of the application database. Every user has access to two or more processing nodes via the communication network (this is necessary should one of the active nodes fail).



**An Active/Active System**

In many active/active systems, a user is assigned to a processing node; and that node processes all of his transactions. Should the user's node fail, his transaction activity is simply routed to another node in the network. In other systems, each transaction is routed to a node based on nodal loads or other parameters set by the network.

Thus, should a node fail, transactions are simply no longer routed to it. If the node had users assigned to it, those users are reassigned to surviving nodes. If the network is doing transaction routing, then transactions are no longer routed to the failed node. Recovery from a node failure can be accomplished in seconds or in subseconds.

The database copies in the application network must all contain the same data so that any application applied against any database will be correctly processed. To accomplish this, the databases are synchronized by data replication. Whenever a transaction makes changes to a database copy, those changes are immediately replicated to the other database copies in the application network. Therefore, all database copies will always be in the same state.

However, one of these copies must be designated as the database of record. Furthermore, there must be a process to promote another database to be the database of record should the primary database of record fail. These choices are determined by the architecture of the active/active application network and are the subject of this article.

## Asymmetric Active/Active Systems Using Asynchronous Replication

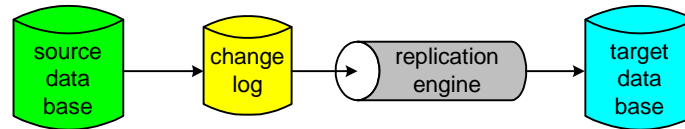
### **Asynchronous Replication**

There are two primary types of data replication that can be used to keep application database copies synchronized – asynchronous replication and synchronous replication. Synchronous replication is discussed later.

<sup>1</sup> Highleyman, W. H.; Holenstein, P. J.; Holenstein, B. D.; Chapter 6 – RPO and RTO, *Breaking the Availability Barrier: Survivable Systems for Enterprise Computing*, AuthorHouse; 2004.

<sup>2</sup> Highleyman, W. H., What is Active/Active?, *Availability Digest*, [www.availabilitydigest.com](http://www.availabilitydigest.com); October, 2006.

With asynchronous replication,<sup>3</sup> changes made to a source database are captured in a change log. A replication engine follows the change log and extracts changes, which the engine sends over the network to be applied to the target database. Replication activity is totally transparent to the source system and to the application, and the target database is kept identical to the source database within a very small time interval.



### Asynchronous Data Replication

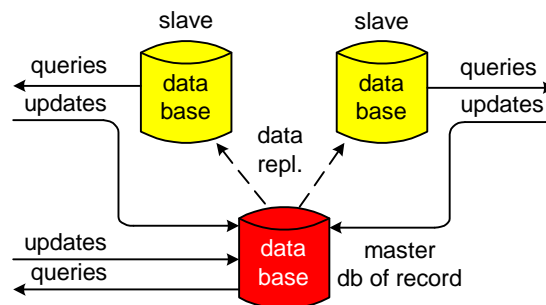
The “very small time interval” is known as *replication latency*. It is the time from when a change is applied to the source system to the time that it is applied to the target system and is typically measured in the tens or hundreds of milliseconds for efficient replication engines. Replication latency brings with it two significant problems:

- *Loss of data* in the replication pipeline should the source system fail.
- *Data collisions* caused by changes made at separate database copies within the replication interval. These changes will be replicated and will overwrite each other unless otherwise accounted for.

There are in use several active/active configurations designed to eliminate or easily resolve data collisions when asynchronous replication is used. These configurations generally weight nodes differently so that there is a predominant node.<sup>4</sup> Therefore, these configurations are asymmetric. That is, the nodes are not all equal; and the database of record is that maintained by the predominant node.

### Master/Slave

In one such configuration, one of the nodes in the application network is designated as the master node; and the other nodes are slave nodes. All updates are routed to the master node by the slave nodes. Changes made to the master database are then replicated to the slave databases. Since all updates are always made to only one database, the master database, collisions are avoided.



### Master/Slave Configuration

In this configuration, the master database is chosen as the database of record. Should its node fail, another node is promoted to be the new master; and its database becomes the new database of record.

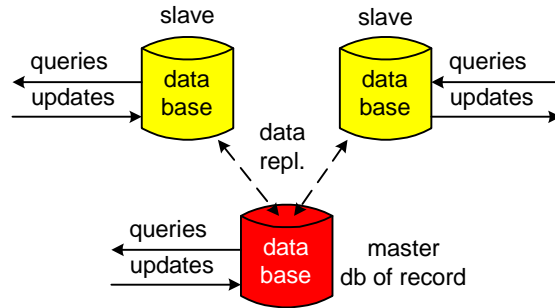
<sup>3</sup> Highleyman, W. H.; Holenstein, P. J.; Holenstein, B. D.; Chapter 3 – Asynchronous Replication, *Breaking the Availability Barrier: Survivable Systems for Enterprise Computing*, AuthorHouse; 2004.

<sup>4</sup> Highleyman, W. H.; Holenstein, P. J.; Holenstein, B. D.; Chapter 4 – Active/Active Topologies, *Breaking the Availability Barrier II: Achieving Century Uptimes with Active/Active Systems*, AuthorHouse; 2007.

### Designated Master

The designated master configuration is similar to the master/slave configuration in that one node is designated as the master. However, in this configuration, all updates are made to the database owned by whichever node receives the transaction, whether it is a slave node or the master node. If the node is a slave node, it replicates its database changes only to the master node. The master node will resolve any collisions according to business rules and will then replicate those changes to all of the slave nodes, including the one, if any, that initiated the transaction. Data collisions can occur between two slave nodes or between a slave node and a master node, but the master node is the final adjudicator.

In this case, the database managed by the master node is declared the database of record. Again, should this node fail, one of the slave nodes is promoted to be the new master; and its database becomes the database of record.



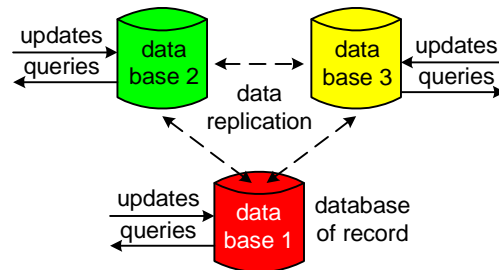
Designated Master Configuration

### Node Precedence

The node precedence configuration involves assigning a precedence (or priority) to each node. If a data collision should occur, it is resolved by accepting the competing change from the node with the highest precedence or priority.

For instance, consider the example in which there are three nodes in the application network with precedences 1, 2, and 3, with precedence 1 being the highest. If a node receives a data collision between nodes 2 and 3, the data change initiated by node 2 will be accepted and that from node 3 will be rejected.

In a node precedence configuration, the database managed by the node with the highest precedence is chosen to be the database of record. Should this node fail, the database managed by the node with the next highest precedence will become the database of record.



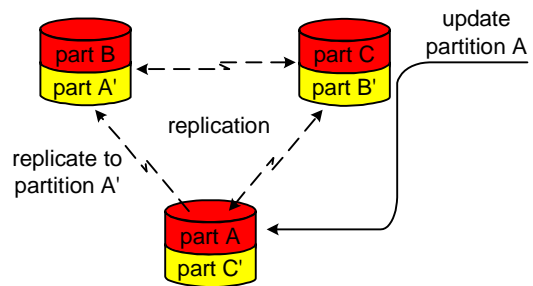
Node Precedence Configuration

### Partitioned Database

In some active/active systems, the database is partitioned among the nodes. Typically, one node will own a partition; and that partition will be backed up on the database of another node.

For instance, in a three-node active/active system, the database might be partitioned into partitions A, B, and C. One node provides data storage for partition A and for the backup, C', for partition C. Another node provides storage for partition B and for the backup, A', for partition A. The third node houses partition C and the backup, B', for partition B.

When a node receives an update for a partition, it routes that update to the node owning that



Partitioned Database Configuration

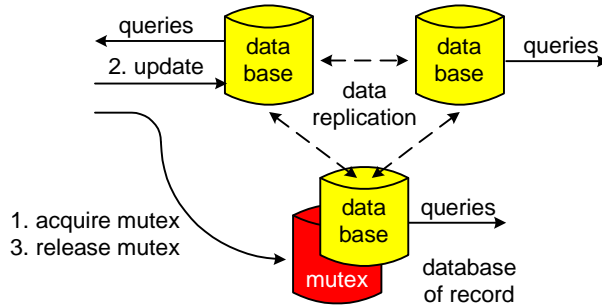
partition. The owning node will make the update to its partition and will replicate that change to the other database copies in the application network. Since all changes to any given data object will only be made at one system, there will be no data collisions.

In this case, the database of record is also partitioned. It comprises the primary partitions that are distributed across the network.

Should a node fail, the backup partition for the primary partition on the failed node becomes the new primary partition and the new database of record for that partition.

### Global Mutex

If all nodes in an active/active network are peers, data collisions can be avoided by using a global mutex. A *mutex* is a mutually exclusive lock (or other deterministic sequencing algorithm) on a data object. The lock must be acquired before that data object can be modified. A *global mutex* is a unique mutex somewhere in the network. The global mutex protects all of the copies of a data object across the network.



**Global Mutex Configuration**

If a global mutex is used, an application that wants to update a data object must first acquire the global mutex for that object. It can then update the object, knowing that no other updates can be made while it is holding the mutex. Thus, data collisions are avoided. When the application has finished its update, it releases the global mutex.

The global mutexes are generally resident on one of the nodes in the application network. The application database managed by the node containing the global mutexes is designated the database of record.

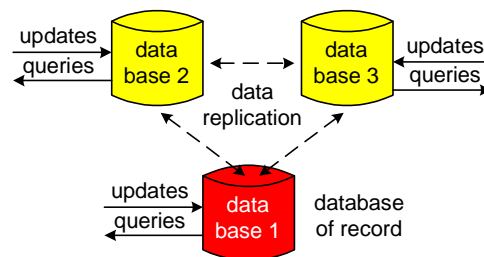
Should the node containing the global mutexes fail, the global mutexes must be moved to another node. The database managed by that node now becomes the database of record.

### Symmetric Active/Active System Using Asynchronous Replication

A symmetric active/active system is one in which there is no distinction between the nodes. The nodes are equal peers, and there is no configuration reason to designate one as holding the database of record.

In this case, the determination of the database of record can be based on other attributes, such as:

- *Latency*: The database that is most central in the application network can be chosen to be the database of record. Its maximum replication latency to any other node will be the shortest in the network, and it therefore has the potential to lose less data should it fail.

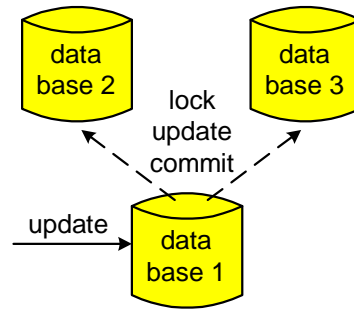


**Symmetric Active/Active System**

- *Support*: The database copy that is the best supported from an administrative and maintenance viewpoint might be chosen as the database of record. This may be the database copy that is resident at corporate headquarters.
- *Capacity*: The database copy that is assigned to the node with the greatest capacity might be chosen as the database of record.

## Symmetric Active/Active Systems Using Synchronous Replication

An alternative to asynchronous replication to keep the database copies synchronized is the use of synchronous replication.<sup>5</sup> With synchronous replication, locks must be acquired on all copies of the data object to be modified before any change can be made. Only if locks are acquired by all database copies on all data items within the scope of the transaction does the transaction initiator authorize the commitment of the transaction. At this point, the changes are made permanently to each database copy, and the locks are released.



### Synchronous Active/Active System

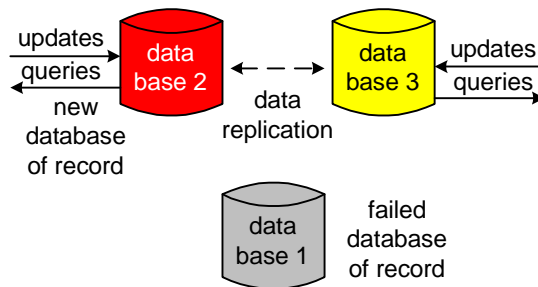
If a lock cannot be acquired by one database copy, the transaction is aborted; and no changes are made to any database copy. Thus, synchronous replication guarantees that either all data object copies are changed or that none are. All data objects remain locked until their locks are simultaneously released by the updating application.

As a result, no data collisions can occur; and no data will be lost following a failure. However, transaction response times will be slowed due to the necessity to coordinate updates across the network.

Synchronous replication is symmetric in that no node has precedence. The considerations for the choice of a database of record are the same as those for symmetric active/active configurations using asynchronous replication, as discussed earlier.

## Node Promotion Following a Failure

Should the node containing the database of record fail, another node must be chosen and promoted to become the new database of record. The failed database is removed from service, and the active/active system continues in operation with one less node.



### Node Promotion

The following considerations might be used as a basis for this determination:

- *Verification and Validation*: Often, a verification and validation facility is used to periodically compare the databases to the database of record. Should a discrepancy be found, it is corrected to bring the database being tested into synchronism with the database of record. If this is the case, the database copy that has been most recently validated can be chosen as the new database of record.

<sup>5</sup> Highleyman, W. H.; Holenstein, P. J.; Holenstein, B. D.; Chapter 4 – Synchronous Replication, *Breaking the Availability Barrier: Survivable Systems for Enterprise Computing*, AuthorHouse; 2004.

- *Minimize Data Loss:* The database copy that is geographically closest to the failed database of record can be chosen to be the new database of record since it is likely to have lost the least data following the failure of the node maintaining the database of record. This is not a consideration if synchronous replication is used since there will be no data lost following a node failure.
- *Support:* The surviving node with the best administrative and maintenance support can be chosen as the new database of record.

## Summary

Because an active/active system contains multiple copies of the application database, there must be some method to determine which database copy is to be considered the database of record. In architectures in which one node has a predominant role, the database managed by the predominant node should be designated the database of record. This includes the master node in master/slave and designated master configurations, the node with the highest precedence in node-precedence configurations, the node that owns a partition in partitioned databases, and the node that owns the global mutex if global mutexes are used to avoid data collisions.

If the system is totally symmetric, and if there is no dominant node, the determination of the database of record can be made based on geographical location, administrative and maintenance support, or nodal capacity.